

The CERN OMBUD

A service to all in all collaborations

ISOLDE Workshop and Users meetings – 14 December 2021

Laure Esteveny







Who is the ombud?

- A service provided by CERN, in its role of host laboratory, to all in the CERN Community since January 2011 to:
 - Provide confidential assistance for the informal resolution of interpersonal conflicts, through counselling, mediation, consensus building and/or other conflict resolution methods
 - Raise awareness of and provide guidance in the application and interpretation of the CERN Code of Conduct
 - Detailed mandate from https://ombud.web.cern.ch home page
- An alternative to or supplement to other <u>support structures</u> (formal processes)
- Triggers awareness of top management (Host Lab and Collaborations) on trends or issues that have not surfaced through other channels
- The Ombud exercises this mandate according to professional standards set by the International Ombuds Association





So what makes it unique?





Confidentiality



Neutrality & *Impartiality*

Informality

Independence





Four principles

Confidentiality

- The conversation between the visitor and the ombud, no one else.
- If asked and given permission, the ombud may contact other designated individuals.
- Exception: Imminent risk of serious harm to anyone.

Informality

- Not an agent of notice; not the place where to make a formal complaint.
- The ombud does not conduct investigation.
- It does not trigger any process, the visitor remains in full control of what happens next.





Four principles

Neutrality / Impartiality

- The ombud tries to understand and help communicate the interests and rights of everyone but does not
 - Take sides in disputes
 - Try to help one person "win" and another "lose"
 - Advocate for individuals

Independence

- The ombud is not part of any operations/management and it is a last job before leaving CERN
 - No conflicts of interest and a truly external view





What do you find in the OO?

- Active listening and a sounding board
- Conflict resolution coaching
- Facilitated conversations
- Shuttle mediation
- Structured mediation
- Information about policies, rules, rights, procedures, "how things work here"
- Referrals to other support structures
- Etc.



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Who is the 4th CERN Ombud?

- Came to CERN in 1986 as a fellow in DD
- Many years of engineering of information systems and computing project leadership
- Joined LHC Office in 2003
- Internal Auditor as of 2004
- Head of Internal Audit 2009 2015
- Launched the CERN Alumni network in IR in 2017
- CERN Ombud since 15 April 2021
- Fully trained as Ombud and in workplace mediation Access to 5 professional networks
- More at https://www.linkedin.com/in/laure-esteveny-0177999/

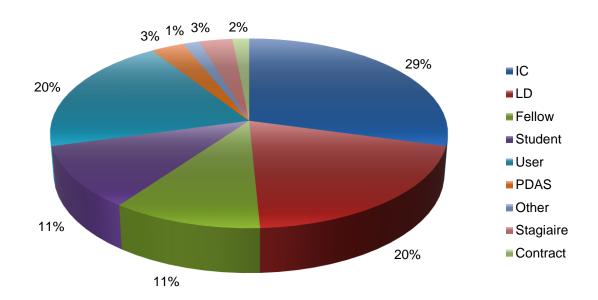




A few figures from the 2020 annual report?

OMBUD Visitor Profiles 2020

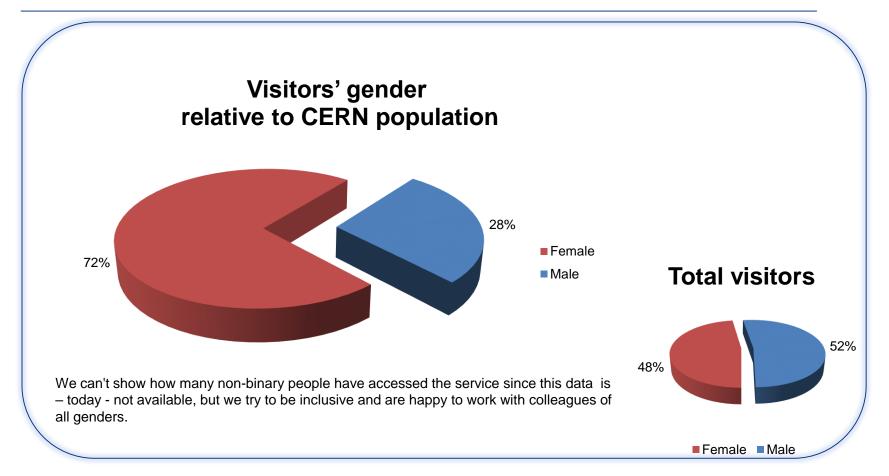
Contract Type







OMBUD Visitor Profiles 2020 by gender



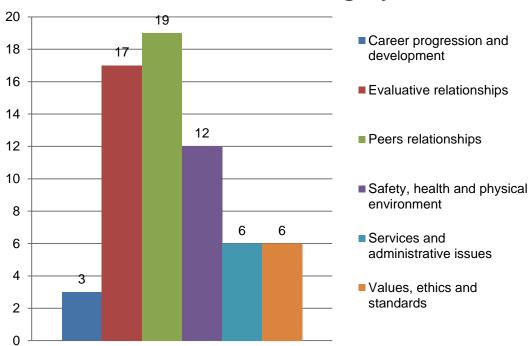




OMBUD Categories of Issues 2020

[Classification according to International Ombudsman Association]

Issues: main category

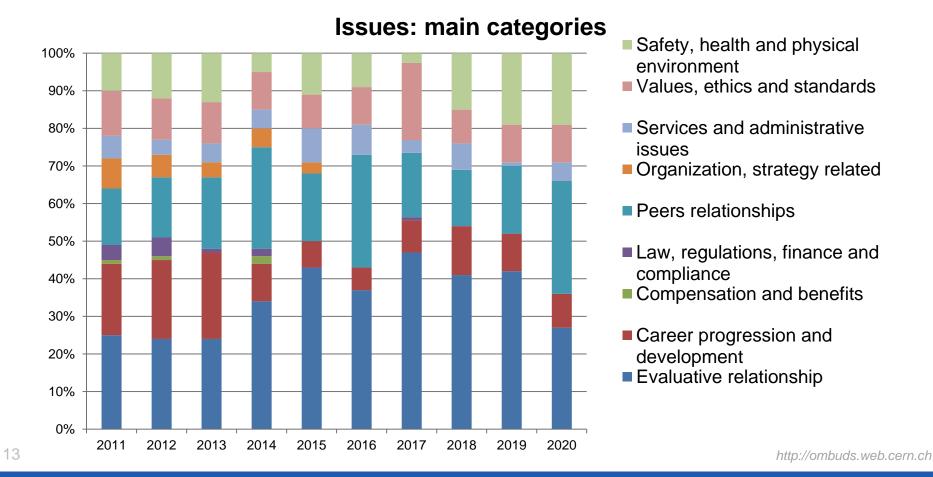


Absent in 2020: Organization & strategy related, Law, regulations, finance & compliance, Compensation and benefits





Trends 2011 - 2020









All reports over 2011-2020 are available from the Ombud's web site: https://ombud.web.cern.ch





A few messages to leave with

- Dispute resolution is not about physics or technical matters, it is about human behaviours
- Conflicts are a normal part of workplace life, they can be productive or destructive depending on the way they are managed
 - Don't try to ignore them, they only grow stronger
 - Give the Ombud a chance to help
- Scientific research is a highly challenging and competitive field –
 Fear of retaliation is high especially for junior colleagues
- To best advise visitors, the Ombud needs to maintain good knowledge of both CERN and the Collaborations organizational processes, as well as close relationships with <u>key stakeholders</u>
- USERs have a number of doors where they may find support the Ombud is a useful entry point to discuss an issue of concern





Thank you for your attention!

Any questions left unanswered? Need to discuss an issue of concern? Please do contact me at ombud@cern.ch

16 Stay in touch by registering to cern-ombud-news (e-group)





SPARE SLIDES





What does the Ombud do for CERN and the Collaborations?

The Ombud provides a safe, informal, confidential place to surface concerns, move more quickly to problem resolution and regain the ability to give one's best again to work

- Helps safeguard the Code of Conduct
- Assist in the repair and building of trust
- Helps lessen the distance between members of personnel and leadership
- Enhances the engagement of contributors
- ✓ Connects across organizational hierarchies
- ✓ Provides early warning and insights on systemic issues
- Allows resolution of issues within CERN and the Collaborations
- ✓ Adds to the Lab's reputation as a great place to work





Inappropriate behaviour? Misconduct? Harassment? CERN's Response Channels

			&/or Guidance	complaint; Investigation; Disciplinary action
ombuds@cern.ch 500₋1₋04	√	✓	√	
social.affairs@cern.ch 33-1-38	1		✓	
medical.service@cern.ch 57-1	✓		√	
cern.ch/hr/hr-key-contacts 5-1 & 5-2	✓	✓	✓	
staff.association@cern.ch 64-R-010	√		√	
(name)@cern.ch, or hr-dept.head@cern.ch	✓		✓	✓
HIP Chairperson HIP.Chair@cern.ch			√	✓
	social.affairs@cern.ch 33-1-38 medical.service@cern.ch 57-1 cern.ch/hr/hr-key-contacts 5-1 & 5-2 staff.association@cern.ch 64-R-010 (name)@cern.ch, or hr-dept.head@cern.ch	social.affairs@cern.ch 33-1-38 medical.service@cern.ch 57-1 cern.ch/hr/hr-key-contacts 5-1 & 5-2 staff.association@cern.ch 64-R-010 (name)@cern.ch, or hr-dept.head@cern.ch	social.affairs@cern.ch 33-1-38 medical.service@cern.ch 57-1 cern.ch/hr/hr-key-contacts 5-1 & 5-2 staff.association@cern.ch 64-R-010 (name)@cern.ch, or hr-dept.head@cern.ch	social.affairs@cern.ch 33-1-38 medical.service@cern.ch 57-1 cern.ch/hr/hr-key-contacts 5-1 & 5-2 staff.association@cern.ch 64-R-010 (name)@cern.ch, or hr-dept.head@cern.ch

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Students*

*Students: TECH, ADMIN, DOCT, Short-Term

*Students: TECH, ADMIN, DOCT, Short-Term Internship programmes coordinated by CERN HR



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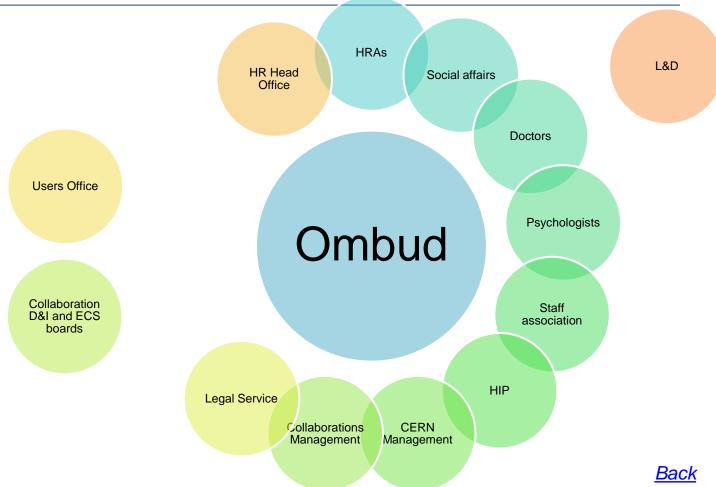


https://ombuds.web.cern.ch/blog/2021/05/ten-good-reasons-opt-ombud





Who are the stakeholders?





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